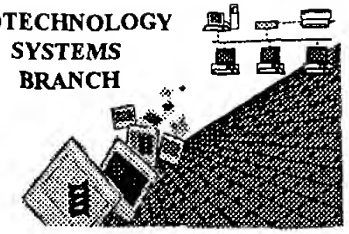
1129

BIOTECHNOLOGY
SYSTEMS
BRANCH



RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 09/863,600B
Source: OIPE
Date Processed by STIC: 2/11/2002

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:
1) **INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,**
2) **TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY**

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216.
PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax)
PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO **REDUCE** ERRORED SEQUENCE LISTINGS, **PLEASE** USE THE **CHECKER VERSION 3.1 PROGRAM**, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:
http://www.uspto.gov/web/offices/pac/checker

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.
Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.
Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

1. EFS-Bio (<http://www.uspto.gov/ebc/efs/downloads/documents.htm>, **EFS Submission User Manual** - ePAVE)
2. **U.S. Postal Service:** U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
3. **Hand Carry directly to:**
   U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7th Floor, Examiner Name, Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202
   Or
   U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202
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Revised 01/29/2002

OIPE

RAW SEQUENCE LISTING
PATENT APPLICATION: US/09/863,600B

DATE: 02/11/2002
TIME: 09:18:10

Input Set : A:\PTO.VSK.txt
Output Set: N:\CRF3\02112002\I863600.raw

Suggestion: See 1.823(d) Sequence Rules for valid format.

Does Not Comply
Corrected Diskette Needed

see pgs 1-6

(global error)

```
     4 <110> APPLICANT: Smith-Swintosky, Virginia
     5       Renzi, Michael
     6       Plata-Salaman, Carlos
     7       Jolliffe, Linda
     8       Farrell, Francis
     9       Johnson, Dana
    11 <120> TITLE OF INVENTION: Neuroprotective Peptides
    13 <130> FILE REFERENCE: ORT1436
    15 <140> CURRENT APPLICATION NUMBER: 09/863,600
    16 <141> CURRENT FILING DATE: 2001-05-23
    18 <160> NUMBER OF SEQ ID NOS: 49
    20 <170> SOFTWARE: PatentIn Ver. 2.1
    22 <210> SEQ ID NO: 1
    23 <211> LENGTH: 10
    24 <212> TYPE: PRT
    25 <213> ORGANISM: Artificial Sequence
W--> 27 <220> FEATURE: synthetic peptide
    28 <221> NAME/KEY: variant
    29 <222> LOCATION: 1, 2, 3, 6, 9, 10
    30 <223> OTHER INFORMATION: 1 is Cys, Glu, Ala, a-amino gamma bromobutyric acid,
homocysteine, 2 is
    31       Arg, His, Tyr, Leu, Val, 3 is Met, Phe, Ile, 6 is any L or D amino acid, 9 is
    32       Asp, Glu, Ile, Leu, Val, 10 is Cys, Lys, Ala, alfa amino gamma bromobutyric
    33       acid, homocysteine
    35 <400> SEQUENCE: 1
W--> 37 Xaa Xaa Xaa Gly Pro Xaa Thr Trp Xaa Xaa
    38   1               5                  10
    41 <210> SEQ ID NO: 2
    42 <211> LENGTH: 12
    43 <212> TYPE: PRT
    44 <213> ORGANISM: Artificial Sequence
W--> 46 <220> FEATURE: synthetic peptide
    47 <221> NAME/KEY: variant
    48 <222> LOCATION: 2, 3, 4, 5, 8, 11, 12
    49 <223> OTHER INFORMATION: 2, 4, 5, 11 and 12 is any L or D amino acid, 3 and 8 is Cys
    52 <400> SEQUENCE: 2
W--> 54 Tyr Xaa Xaa Xaa Xaa Gly Pro Xaa Thr Trp Xaa Xaa
    55   1               5                  10
    58 <210> SEQ ID NO: 3
    59 <211> LENGTH: 16
    60 <212> TYPE: PRT
    61 <213> ORGANISM: Artificial Sequence
W--> 63 <220> FEATURE: synthetic peptide
    64 <221> NAME/KEY: variant
```

DO NOT insert any response to <2207. <2207 is a "header" only. The explanation goes on <2237 line

DO NOT exceed 4 lines for <2237 response

This exceeds 4 lines

<2237

same error as above

same

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Input Set : A:\PTO.VSK.txt
Output Set: N:\CRF3\02112002\I863600.raw

65 <222> LOCATION: 1, 3, 4, 5, 6, 9, 12, 13, 14, 15, 16
66 <223> OTHER INFORMATION: 1, 3, 9, 13, 14, 15 is any L or D amino acid, 4 is Cys, Glu, Ala, 5 is
67 Agr, His, Tyr, 6 is Met, Phe, Ile, 12 is Cys, Lys, Ala
70 <400> SEQUENCE: 3
W--> 72 Xaa Tyr Xaa Xaa Xaa Xaa Gly Pro Xaa Thr Trp Xaa Xaa Xaa Xaa Xaa
73 1 5 10 15
76 <210> SEQ ID NO: 4
77 <211> LENGTH: 16
78 <212> TYPE: PRT
79 <213> ORGANISM: Artificial Sequence
W--> 81 <220> FEATURE: synthetic peptide
82 <221> NAME/KEY: variant
83 <222> LOCATION: 1, 3, 5, 6, 9, 12, 14, 15, 16
84 <223> OTHER INFORMATION: 1, 3, 9, 14, 15, 16 is any L or D amino acid, 5 is Arg, His, or Tyr, 6 is
85 Met, Phe, or Ile, 12 is Cys, Lys, or Ala
87 <400> SEQUENCE: 4
W--> 89 Xaa Tyr Xaa Cys Xaa Xaa Gly Pro Xaa Thr Trp Xaa Cys Xaa Xaa Xaa
90 1 5 10 15
93 <210> SEQ ID NO: 5
94 <211> LENGTH: 16
95 <212> TYPE: PRT
96 <213> ORGANISM: Artificial Sequence
W--> 98 <220> FEATURE: synthetic peptide
99 <221> NAME/KEY: variant
100 <222> LOCATION: 1, 3, 5, 6, 9, 12, 14, 15, 16
101 <223> OTHER INFORMATION: 1, 3, 13, 16 is any L or D amino acid, 4 is Cys, Glu, Ala, 5 is Arg, His,
102 6 is Met, Phe, 9 is Ile, Leu, Thr, Met, Val, 12 is Asp, Val, 14 is Gly, Lys,
103 Leu, Gln, Arg, Ser, Thr, 15 is Ala, Gly, Pro, Arg, Tyr
106 <400> SEQUENCE: 5
W--> 108 Xaa Tyr Xaa Cys Xaa Xaa Gly Pro Xaa Thr Trp Xaa Cys Xaa Xaa Xaa
109 1 5 10 15
112 <210> SEQ ID NO: 6
113 <211> LENGTH: 16
114 <212> TYPE: PRT
115 <213> ORGANISM: Artificial Sequence
W--> 117 <220> FEATURE: synthetic peptide
118 <221> NAME/KEY: variant
119 <222> LOCATION: 1, 3, 5, 6, 9, 12, 14, 15, 16
120 <223> OTHER INFORMATION: 6, 9, 12, 16 is any L or D amino acid, 1 is Asp, Glu, Leu, Asn, Ser, Thr,
121 Val, 3 is Ala, His, Lys, Leu, Met, Ser, Thr, 5 is Arg, His, 14 is Lys, Arg, Ser,
122 Thr, 15 is Pro
124 <400> SEQUENCE: 6
W--> 126 Xaa Tyr Xaa Cys Xaa Xaa Gly Pro Xaa Thr Trp Xaa Cys Xaa Xaa Xaa
127 1 5 10 15
130 <210> SEQ ID NO: 7
131 <211> LENGTH: 20
132 <212> TYPE: PRT
133 <213> ORGANISM: Artificial Sequence

W--> 135 <220> FEATURE: synthetic peptide same error

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PATENT APPLICATION: US/09/863,600B TIME: 09:18:10

Input Set : A:\PTO.VSK.txt
Output Set: N:\CRF3\02112002\I863600.raw

W--> 137 <223> OTHER INFORMATION:
137 <400> SEQUENCE: 7
139 Gly Gly Leu Tyr Leu Cys Arg Phe Gly Pro Val Thr Trp Asp Cys Gly
140 1 5 10 15
142 Tyr Lys Gly Gly
143 20
146 <210> SEQ ID NO: 8
147 <211> LENGTH: 20
148 <212> TYPE: PRT
149 <213> ORGANISM: Artificial Sequence
W--> 151 <220> FEATURE: synthetic peptide
W--> 153 <223> OTHER INFORMATION:
153 <400> SEQUENCE: 8
155 Gly Gly Thr Tyr Ser Cys His Phe Gly Pro Leu Thr Trp Val Cys Lys
156 1 5 10 15
158 Pro Gln Gly Gly
159 20
162 <210> SEQ ID NO: 9
163 <211> LENGTH: 20
164 <212> TYPE: PRT
165 <213> ORGANISM: Artificial Sequence
W--> 167 <220> FEATURE: synthetic peptide
W--> 169 <223> OTHER INFORMATION:
169 <400> SEQUENCE: 9
171 Gly Gly Asp Tyr His Cys Arg Met Gly Pro Leu Thr Trp Val Cys Lys
172 1 5 10 15
174 Pro Leu Gly Gly
175 20
178 <210> SEQ ID NO: 10
179 <211> LENGTH: 20
180 <212> TYPE: PRT
181 <213> ORGANISM: Artificial Sequence
W--> 183 <220> FEATURE: synthetic peptide
W--> 185 <223> OTHER INFORMATION:
185 <400> SEQUENCE: 10
187 Val Gly Asn Tyr Met Cys His Phe Gly Pro Ile Thr Trp Val Cys Arg
188 1 5 10 15
190 Pro Gly Gly Gly
191 20
194 <210> SEQ ID NO: 11
195 <211> LENGTH: 20
196 <212> TYPE: PRT
197 <213> ORGANISM: Artificial Sequence
W--> 199 <220> FEATURE: synthetic peptide
W--> 201 <223> OTHER INFORMATION:
201 <400> SEQUENCE: 11
203 Gly Gly Val Tyr Ala Cys Arg Met Gly Pro Ile Thr Trp Val Cys Ser
204 1 5 10 15
206 Pro Leu Gly Gly

RAW SEQUENCE LISTING DATE: 02/11/2002
PATENT APPLICATION: US/09/863,600 β TIME: 09:18:10

Input Set : A:\PTO.VSK.txt
Output Set: N:\CRF3\02112002\I863600.raw

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     207                20
     210 <210> SEQ ID NO: 12
     211 <211> LENGTH: 19
     212 <212> TYPE: PRT
     213 <213> ORGANISM: Artificial Sequence
W--> 215 <220> FEATURE: synthetic peptide
W--> 217 <223> OTHER INFORMATION:
     217 <400> SEQUENCE: 12
     219 Val Gly Asn Tyr Met Ala His Met Gly Pro Ile Thr Trp Val Cys Arg
     220   1               5                  10                  15
     222 Pro Gly Gly
     226 <210> SEQ ID NO: 13
     227 <211> LENGTH: 18
     228 <212> TYPE: PRT
     229 <213> ORGANISM: Artificial Sequence
W--> 231 <220> FEATURE: synthetic peptide
W--> 233 <223> OTHER INFORMATION:
     233 <400> SEQUENCE: 13
     235 Gly Gly Thr Tyr Ser Cys His Phe Gly Pro Leu Thr Trp Val Cys Lys
     236   1               5                  10                  15
     238 Pro Gln
     242 <210> SEQ ID NO: 14
     243 <211> LENGTH: 20
     244 <212> TYPE: PRT
     245 <213> ORGANISM: Artificial Sequence
W--> 247 <220> FEATURE: synthetic peptide
W--> 249 <223> OTHER INFORMATION:
     249 <400> SEQUENCE: 14
     251 Gly Gly Leu Tyr Ala Cys His Met Gly Pro Met Thr Trp Val Cys Gln
     252   1               5                  10                  15
     254 Pro Leu Arg Gly
     255                20
     258 <210> SEQ ID NO: 15
     259 <211> LENGTH: 22
     260 <212> TYPE: PRT
     261 <213> ORGANISM: Artificial Sequence
W--> 263 <220> FEATURE: synthetic peptide
W--> 265 <223> OTHER INFORMATION:
     265 <400> SEQUENCE: 15
     267 Thr Ile Ala Gln Tyr Ile Cys Tyr Met Gly Pro Glu Thr Trp Glu Cys
     268   1               5                  10                  15
     270 Arg Pro Ser Pro Lys Ala
     271                20
     274 <210> SEQ ID NO: 16
     275 <211> LENGTH: 13
     276 <212> TYPE: PRT
     277 <213> ORGANISM: Artificial Sequence
W--> 279 <220> FEATURE: synthetic peptide
W--> 281 <223> OTHER INFORMATION:
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RAW SEQUENCE LISTING DATE: 02/11/2002
PATENT APPLICATION: US/09/863,600B TIME: 09:18:10

Input Set : A:\PTO.VSK.txt
Output Set: N:\CRF3\02112002\I863600.raw

```
     281 <400> SEQUENCE: 16
     283 Tyr Ser Cys His Phe Gly Pro Leu Thr Trp Val Cys Lys
     284   1               5                  10
     287 <210> SEQ ID NO: 17
     288 <211> LENGTH: 11
     289 <212> TYPE: PRT
     290 <213> ORGANISM: Artificial Sequence
W--> 292 <220> FEATURE: synthetic peptide
W--> 294 <223> OTHER INFORMATION:
     294 <400> SEQUENCE: 17
     296 Tyr Cys His Phe Gly Pro Leu Thr Trp Val Cys
     297   1               5                  10
     300 <210> SEQ ID NO: 18
     301 <211> LENGTH: 12
     302 <212> TYPE: PRT
     303 <213> ORGANISM: Artificial Sequence
W--> 305 <220> FEATURE: synthetic peptide
W--> 307 <223> OTHER INFORMATION:
     307 <400> SEQUENCE: 18
     309 Ser Cys His Phe Gly Pro Leu Thr Trp Val Cys Lys
     310   1               5                  10
     313 <210> SEQ ID NO: 19
     314 <211> LENGTH: 20
     315 <212> TYPE: PRT
     316 <213> ORGANISM: Artificial Sequence
W--> 318 <220> FEATURE: synthetic peptide
W--> 320 <223> OTHER INFORMATION:
     320 <400> SEQUENCE: 19
     322 Gly Gly Thr Ala Ser Cys His Phe Gly Pro Leu Thr Trp Val Cys Lys
     323   1               5                  10                  15
     325 Pro Gln Gly Gly
     326               20
     329 <210> SEQ ID NO: 20
     330 <211> LENGTH: 20
     331 <212> TYPE: PRT
     332 <213> ORGANISM: Artificial Sequence
W--> 334 <220> FEATURE: synthetic peptide
W--> 336 <223> OTHER INFORMATION:
     336 <400> SEQUENCE: 20
     338 Gly Gly Thr Tyr Ser Cys His Phe Ala Pro Leu Thr Trp Val Cys Lys
     339   1               5                  10                  15
     341 Pro Gln Gly Gly
     342               20
     345 <210> SEQ ID NO: 21
     346 <211> LENGTH: 19
     347 <212> TYPE: PRT
     348 <213> ORGANISM: Artificial Sequence
W--> 350 <220> FEATURE: synthetic peptide
W--> 352 <223> OTHER INFORMATION:
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The types of errors shown exist throughout the Sequence Listing. Please check subsequent sequences for similar errors.

FYI Use of n and/or Xaa has been detected in the Sequence Listing. Review the Sequence Listing to insure a corresponding explanation is presented in the <220> to <223> fields of each sequence using n or Xaa.

VERIFICATION SUMMARY DATE: 02/11/2002
PATENT APPLICATION: US/09/863,600 TIME: 09:18:11

Input Set : A:\PTO.VSK.txt
Output Set: N:\CRF3\02112002\I863600.raw

L:27 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:37 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:1
L:46 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:54 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:2
L:63 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:72 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:3
L:81 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:89 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:4
L:98 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:108 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:5
L:117 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:126 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:6
L:135 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:137 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
L:151 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:153 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
L:167 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:169 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
L:183 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:185 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
L:199 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:201 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
L:215 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:217 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
L:231 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:233 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
L:247 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:249 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
L:263 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:265 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
L:279 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:281 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
L:292 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:294 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
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L:307 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
L:318 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:320 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
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L:350 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:352 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
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L:367 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
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L:395 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:

VERIFICATION SUMMARY DATE: 02/11/2002
PATENT APPLICATION: US/09/863,600 TIME: 09:18:11

Input Set : A:\PTO.VSK.txt
Output Set: N:\CRF3\02112002\I863600.raw

L:406 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:408 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
L:419 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:421 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
L:432 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:434 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
L:445 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:447 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
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L:476 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
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L:492 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
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L:538 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:540 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
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L:556 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
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L:572 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
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L:605 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:612 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:38
L:624 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:631 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:39
L:643 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:650 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:40
L:662 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:669 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:41
L:681 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:688 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:42
L:700 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:707 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:43
L:719 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:721 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
L:735 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:737 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
L:751 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:753 M:258 W: Mandatory Feature missing, <223> OTHER INFORMATION:
L:767 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:776 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:47
L:785 M:256 W: Invalid Numeric Header Field, <220> has non-blank data
L:793 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:48
L:802 M:256 W: Invalid Numeric Header Field, <220> has non-blank data

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Input Set : A:\PTO.VSK.txt
Output Set: N:\CRF3\02112002\I863600.raw

L:812 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:49